

04-27-01

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PTO/SB/05 (08/00)

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket 00AB180

First Inventor Mark A. Lucak

Title Method for Fabricating an Isolated Microelectromechanical System (MEMS) Device Incorporating a Water Level Cap

Express Mail Label No. EF 077 949 335 US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 202311 ☒ Fee transmittal Form
(Submit an original and a duplicate for fee processing)2 ☐ Applicant claims small entity status

See 37 CFR 1.27.

3 ☒ Specification [Total Pages 9]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed Sponsored R&D
- Reference to sequence listing, a table or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4 ☒ Drawing(s) (35 USC 113) [Total Sheets 4]

5 Oath or Declaration [Total Pages 3]

a. ☒ Newly executed (original or copy)b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐ DELETION OF INVENTOR(S)
Signer statement attesting deleting inventor(s)
named in prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on

i. ☐ CD-ROM or CD-R (2 Copies); or
paperc. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents)10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
(where there is an assignee)11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations13. ☐ Preliminary Amendment14. ☒ Return receipt postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified copy of priority Document(s)
(if foreign priority is claimed)16. ☐ Other17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and in a preliminary amendment or in an
Application Data Sheet under 37 CFR 1.76☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. _____

Prior application information: Examiner: _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

(insert Customer No. or Attach bar code label here)

NAME	John J. Horn			
ADDRESS	Rockwell Technologies, LLC			
CITY	1201 S. Second Street, 8-T29			
Milwaukee	STATE	WI	ZIP	53204
U.S.	TELEPHONE	(414) 382-3561	FAX	(414) 382-3900

Name	John J. Horn	Registration No. (attorney/Agent)	28,803
Signature	<i>J.J. Horn</i>	Date:	4/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. 5043472

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3683 U.S. PTO

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10/09/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,378.00

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Mark A. Lucak
Group Art Unit	
Examiner Name	
Attorney Docket Number	00AB180

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

01-0857

Deposit
Account
Name

Rockwell Technologies LLC

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status
See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	Utility filing fee	710.00
106	320	206	Design filing fee	
107	490	207	Plant filing fee	
108	710	208	Reissue filing fee	
114	150	214	Provisional filing fee	
SUBTOTAL (1)				(\$)710.00

2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims	46	-20** = 26	X 18 = 468.00
Independent	5	-3** = 2	X 80 = 160.00
Multiple Dependent Claims			

** or number previously paid if greater. For reissues see below

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for reexamination	
112	920	112	920 Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840 Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive unavoiably abandoned application	
141	1,240	241	620 Petition to revive unintentionally abandoned application	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))	
179	710	270	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify)				

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

SUBMITTED BY #5020411/ 110003.97397

Complete (if applicable)

Typed or Printed Name	John J. Horn	Registration No. (Attorney/Agent)	28,803	Telephone	(414) 382-3561
Signature	<i>J.J. Horn</i>			Date	4/26/01